



Stone Lodge School

Stone Lodge Therapeutic School Exams Department Malpractice Policy

Approved by: Ollie Sharp Date: 13/12/24

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Introduction

What is malpractice and maladministration?

'Malpractice' and 'maladministration' are related concepts, the common theme of which is that they involve a failure to follow the rules of an examination or assessment. This policy and procedure uses the word 'malpractice' to cover both 'malpractice' and 'maladministration' and it means any act, default or practice which is:

- a breach of the Regulations
- a breach of awarding body requirements regarding how a qualification should be delivered
- a failure to follow established procedures in relation to a qualification

which:

- gives rise to prejudice to candidates
- compromises public confidence in qualifications
- compromises, attempts to compromise or may compromise the process of assessment, the integrity of any qualification or the validity of a result or certificate
- damages the authority, reputation or credibility of any awarding body or centre or any officer, employee or agent of any awarding body or centre (SMPP 1)

Candidate malpractice

'Candidate malpractice' means malpractice by a candidate in connection with any examination or assessment, including the preparation and authentication of any controlled assessments, coursework or non-examination assessments, the presentation of any practical work, the compilation of portfolios of assessment evidence and the writing of any examination paper. (SMPP 2)

Centre staff malpractice

'Centre staff malpractice' means malpractice committed by:

- a member of staff, contractor (whether employed under a contract of employment or a contract for services) or a volunteer at a centre; or
- an individual appointed in another capacity by a centre such as an invigilator, a Communication Professional, a Language Modifier, a practical assistant, a prompter, a reader or a scribe (SMPP 2)

Suspected malpractice

For the purposes of this document, suspected malpractice means all alleged or suspected incidents of malpractice. (SMPP 2)

Purpose of the policy

To confirm Stone Lodge Therapeutic School:

- has in place a written malpractice policy which covers all qualifications delivered by the centre and details how candidates are informed and advised to avoid committing malpractice in examinations/assessments, how suspected malpractice issues should be escalated within the centre and reported to the relevant awarding body (GR 5.3)

General principles

In accordance with the regulations Stone Lodge Therapeutic School will:

- Take all reasonable steps to prevent the occurrence of any malpractice (which includes maladministration) before, during and after examinations have taken place (GR 5.11)
- Inform the awarding body immediately of any alleged, suspected or actual incidents of malpractice or maladministration, involving a candidate or a member of staff, by completing the appropriate documentation (GR 5.11)
- As required by an awarding body, gather evidence of any instances of alleged or suspected malpractice (which includes maladministration) in accordance with the JCQ publication **Suspected Malpractice - Policies and Procedures** and provide such information and advice as the awarding body may reasonably require (GR 5.11)

Preventing malpractice

Stone Lodge Therapeutic School has in place:

- Robust processes to prevent and identify malpractice, as outlined in section 3 of the JCQ publication **Suspected Malpractice: Policies and Procedures**. (SMPP 4.3)
- This includes ensuring that all staff involved in the delivery of assessments and examinations understand the requirements for conducting these as specified in the following JCQ documents and any further awarding body guidance:
 - *General Regulations for Approved Centres 2024-2025*
 - *Instructions for conducting examinations (ICE) 2024-2025*
 - *Instructions for conducting coursework 2024-2025*
 - *Instructions for conducting non-examination assessments 2024-2025*
 - *Access Arrangements and Reasonable Adjustments 2024-2025*
 - *A guide to the special consideration process 2024-2025*
 - *Suspected Malpractice: Policies and Procedures 2024-2025*
 - *Plagiarism in Assessments*
 - *AI Use in Assessments: Protecting the Integrity of Qualifications*
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 - *A guide to the awarding bodies' appeals processes 2023-2024 (SMPP 3.3.1)*

Additional information:

AI and Technology in Examinations

With the increasing use of AI in academic work, it is important to set clear boundaries regarding its use in exams. AI tools—such as text generation software, automated problem solvers, and code-writing assistants—are not permitted unless specifically authorized by the institution or the exam board.

Examples of AI Misuse

The following actions are considered malpractice in relation to AI:

1. **Using AI to Generate Exam Responses:** Using AI tools like ChatGPT, Google AI, or any other generative model to produce exam answers, essays, or other materials that should be the student's own work.
2. **AI-Generated Code or Solutions:** Using AI tools to write code or generate solutions to mathematical or scientific problems that are supposed to be completed independently.
3. **Use of Unauthorized Devices:** Using mobile phones, smartwatches, or other AI-enabled devices during an exam to assist with answering questions.
4. **Copying AI-generated Content:** Submitting AI-generated content (such as essays or short answers) as the student's own work without appropriate referencing.

Monitoring and Detection of AI Malpractice

To ensure fair assessment practices, Stone Lodge Therapeutic school will employ various methods to detect and prevent AI-assisted malpractice, including:

- **Plagiarism Detection Software:** To identify AI-generated or plagiarised content.
- **AI Usage Monitoring:** Random or routine checks may be conducted to identify any unauthorised use of AI or other technological devices.
- **Increased Vigilance in Online Examinations:** For online exams, software that monitors browser activity and system usage may be used to ensure that students do not access AI tools or external resources.

Informing and advising candidates

JCQ rules are published on the centre's website at the start of every academic year. Candidates and parents/carriers are pointed to this along with other exam information.

Identification and reporting of malpractice

Escalating suspected malpractice issues

- Once suspected malpractice is identified, any member of staff at the centre can report it using the appropriate channels (SMPP 4.3)

Teachers, heads of department, invigilators, candidates and other centre staff should contact the exam officer, SLT with line management of exams, or head of centre if malpractice is suspected. The centre also has a whistleblowing policy available for all centre staff to view on internal IT systems. The exam officer/SLT will then investigate and proceed with reporting malpractice if appropriate.

Reporting suspected malpractice to the awarding body

- The head of centre will notify the appropriate awarding body immediately of all alleged, suspected or actual incidents of malpractice, using the appropriate forms, and will conduct any investigation and gathering of information in accordance with the requirements of the JCQ publication **Suspected Malpractice: Policies and Procedures** (SMPP 4.1.3)
- The head of centre will ensure that where a candidate who is a child/vulnerable adult is the subject of a malpractice investigation, the candidate's parent/carer/ appropriate adult is kept informed of the progress of the investigation (SMPP 4.1.3)
- Form JCQ/M1 will be used to notify an awarding body of an incident of candidate malpractice. Form

JCQ/M2 will be used to notify an awarding body of an incident of suspected staff malpractice/maladministration (SMPP 4.4, 4.6)

- Malpractice by a candidate discovered in a controlled assessment, coursework or non-examination assessment component prior to the candidate signing the declaration of authentication need not be reported to the awarding body but will be dealt with in accordance with the centre's internal procedures. The only exception to this is where the awarding body's confidential assessment material has potentially been breached. The breach will be reported to the awarding body immediately (SMPP 4.5)
- If, in the view of the investigator, there is sufficient evidence to implicate an individual in malpractice, that individual (a candidate or a member of staff) will be informed of the rights of accused individuals (SMPP 5.33)
- Once the information gathering has concluded, the head of centre (or other appointed information-gatherer) will submit a written report summarising the information obtained and actions taken to the relevant awarding body, accompanied by the information obtained during the course of their enquiries (5.35)
- Form JCQ/M1 will be used when reporting candidate cases; for centre staff, form JCQ/M3 will be used (SMPP 5.37)
- The awarding body will decide on the basis of the report, and any supporting documentation, whether there is evidence of malpractice and if any further investigation is required. The head of centre will be informed accordingly (SMPP 5.40)

Additional information:

Not applicable

Communicating malpractice decisions

Once a decision has been made, it will be communicated in writing to the head of centre as soon as possible. The head of centre will communicate the decision to the individuals concerned and pass on details of any sanctions and action in cases where this is indicated. The head of centre will also inform the individuals if they have the right to appeal. (SMPP 11.1)

Additional information:

Not applicable

Appeals against decisions made in cases of malpractice

Stone Lodge Therapeutic School will:

- Provide the individual with information on the process and timeframe for submitting an appeal, where relevant
- Refer to further information and follow the process provided in the JCQ publication **A guide to the awarding bodies' appeals processes**

Additional information:

Not applicable